

# Filing a Questionable Activity Report (QAR)

## QUESTIONABLE ACTIVITY



- *Questionable Activity:*

Any activity that causes a person to know or suspect the possibility of money laundering, terrorist financing, fraud, possible fraud, insider fraud, employee misconduct, or any other violations that should be immediately reported to the AML Officer. The identification of questionable activity can arise through red flags embedded in processes and procedures, OFAC scanning, interactions with Bank member employees, review of documentation provided by Bank members, interactions with Bank employees, and/or review of documentation prepared by Bank personnel.

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## HOW TO FILE?



1. Access the QAR form at FHLB Intranet – Compliance – Report Questionable Activities

A screenshot of the FHLBank Indianapolis intranet. The page title is "Compliance Risk Management". The navigation bar includes links for FHLBI Intranet, Communications, Technology, Human Resources, Accounting, CARE Connection, Committees, Policies, Compliance (circled in red), Departments, Security, OMWI, and Search Center. The main content area is titled "Compliance Risk Management" and contains a description of the group's services and a list of functional areas: Bank Secrecy Act &amp; Anti-Money Laundering (BSA/AML), Office of Foreign Asset Control (OFAC) Sanctions, Compliance Monitoring Reviews, Mandatory Training, Policy Management, Regulatory Change Management, and Records and Information Management. On the right side, there is a list of links: Report Questionable Activities (circled in red), EthicsPoint Website, EthicsPoint Hotline: 1-866-850-1408, Advisory Bulletins, Policy Library, and Verafin. The left sidebar contains various site navigation options like Compliance Home, Site Pages, Shared Documents, AML &amp; Fraud Library, Prudential Management &amp; Operations Standards, Compliance Working Group, Lists, Calendar, Tasks, and Discussions.

2. Download the QAR form to your computer.
3. Complete the QAR Form with as much information as is known at the time of filing. Updated information can be provided or may be requested by Compliance. Email the completed QAR form with supporting documentation to [AML\\_Officer@fhlbi.com](mailto:AML_Officer@fhlbi.com).

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## CONTACTS



### Questions?

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